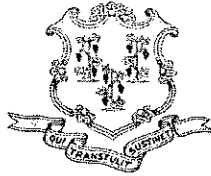


STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

Raul Pino, M.D., M.P.H.
Commissioner



Dannel P. Malloy
Governor
Nancy Wyman
Lt. Governor

BLAST FAX 2018-04

TO: Nursing Home Administrators

FROM: Barbara S. Cass, R.N., Branch Chief
Healthcare Quality and Safety Branch *BS*

DATE: January 12, 2018

SUBJECT: Reportable Events – Requirements of PHC Section 19-13-D8t (g) and Code of Federal Regulations §483.12(c)(1) F609

This information is to clarify the requirements for notifying the Department of Public Health concerning Reportable Events. Attached is information regarding the procedures to be followed for each classification of event including the specific telephone numbers to call as well as requirements for the submission of written reportable events and subsequent updates.

In accordance with the Code of Federal Regulations 483.12(c)(1) which was revised on November 22, 2017, the facility must also ensure that all alleged violations involving abuse, neglect, exploitation or mistreatment including injuries of unknown source and misappropriation of resident property are reported to the Department immediately **but not later than two (2) hours after the allegation is made if the events that cause the allegation involve abuse or result in serious bodily injury**, or not later than twenty-four (24) hours if the events that cause the allegation do not involve abuse and do not result in serious bodily injury. Please be advised that this is a reporting change.

If you have any questions, please contact Deborah Casinghino, Nurse Consultant at (860) 509-7492 or Barbara Yard, Health Program Supervisor at (860) 509-7446.



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Connecticut Department of Public Health Reportable Event Contact Information

<i>Classification</i>	<i>Description</i>	<i>DPH Contact Information</i>	<i>Written Report</i>
Class A	Event that has caused or resulted in a patient's death or presents an immediate danger of death or serious harm	<p>Immediate DPH notification by telephone</p> <p>Weekdays from 8:00 AM to 4:00 PM at (860) 509-7492</p> <p>Weekdays from 4:00 PM to 8:00 AM, evenings/ nights and holidays, please call the overnight line at (860) 509-8228 and leave a message.</p> <p>For a Class A incident that you determine to be of an urgent nature, on weekdays please call (860) 509-7492. If you receive a voice mail message, please press "0" or dial (860) 509-7400 and ask to speak to a supervisor. On weekends, evenings/ nights and holidays, please call (860) 509-8000 and ask to speak to the on-call duty officer</p>	<p>Incident report faxed to (860) 509-8369 or mailed to DPH within seventy-two hours.</p> <p>Follow-up reports relevant to the reportable event are to be submitted as often as necessary to inform the Department of significant changes in the status of affected individuals or changes in material facts originally reported. These reports shall be attached to a photocopy of the original reportable event report.</p>
Class B	Event that indicates an outbreak of disease or foodborne outbreaks, a complaint of patient abuse or an event that involves an abusive act to a patient by any person including verbal, mental, sexual or physical attack on a patient that may include the infliction of injury, unreasonable confinement, intimidation, or punishment	<p>Immediate DPH notification by telephone</p> <p>Weekdays from 8:00 AM to 4:00 PM at (860) 509-7492</p> <p>Weekdays from 4:00 PM to 8:00 AM, evenings/ nights and holidays, please call the overnight line at (860) 509-8228 and leave a message.</p> <p>For a Class B incident that you determine to be of an urgent nature, on weekdays please call (860) 509-7492. If you receive a voice mail message, please press "0" or dial (860) 509-7400 and ask to speak to a supervisor. On weekends, evenings/ nights and holidays, please call (860) 509-8000 and ask to speak to the on-call duty officer</p>	<p>Incident report faxed to (860) 509-8369 or mailed to DPH within seventy-two hours.</p> <p>Follow-up reports relevant to the reportable event are to be submitted as often as necessary to inform the Department of significant changes in the status of affected individuals or changes in material facts originally reported. These reports shall be attached to a photocopy of the original reportable event report.</p>

**Connecticut Department of Public Health
Reportable Event Contact Information**

Classification	Description	DPH Contact Information	Written Report
Class C	Event including but not limited to loss of emergency electrical generator power, loss of heat, loss of water system that will result in the evacuation of one (1) or more patients within or outside of the facility and all fires regardless of whether services are disrupted.	<p>Immediate DPH notification by telephone</p> <p>Weekdays from 8:00 AM to 4:00 PM at (860) 509-7492</p> <p>Weekdays from 4:00 PM to 8:00 AM, evenings/nights and holidays, please call the overnight line at (860) 509-8228 and leave a message.</p> <p>For any fire and/or any incident that requires evacuation of one (1) or more residents within or outside the facility and any other Class C incident that you determine to be of an urgent nature, on weekdays please call (860) 509-7492. If you receive a voice mail message, please press "0" or dial (860) 509-7400 and ask to speak to a supervisor. On weekends, evenings/ nights and holidays, please call (860) 509-8000 and ask to speak to the on-call duty officer.</p>	<p>Incident report faxed to (860) 509-8369 or mailed to DPH within seventy-two hours.</p> <p>Follow-up reports relevant to the reportable event are to be submitted as often as necessary to inform the Department of significant changes in the status of affected individuals or changes in material facts originally reported. These reports shall be attached to a photocopy of the original reportable event report.</p>
Class D	Event that has caused or resulted in a serious injury or significant change in a patient's condition, an event that involves medication error(s) of clinical significance which for the purpose of this classification shall mean an event that adversely alters a patient's mental or physical condition	No telephone call to DPH is required	<p>Incident report faxed to (860) 509-8369 or mailed to DPH within seventy-two hours.</p> <p>Follow-up reports relevant to the reportable event are to be submitted as often as necessary to inform the Department of significant changes in the status of affected individuals or changes in material facts originally reported. These reports shall be attached to a photocopy of the original reportable event report.</p>
Class E	Event that has caused or resulted in minor injury, distress or discomfort to a patient.	No telephone call to DPH is required.	Written report of event at time of occurrence or discovery is to be maintained on file at the facility for review by the Department